

**BENEFICIAL OWNERSHIP CERTIFICATION FORM**

Name of Person Opening Account	
Name of Legal Entity for which the Account is being Opened	
Type of Account	
Name of the Custodian	

Information for each individual, who directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 20% or more in the equity interests of the legal entity listed above.

Name	Date of Birth	Address	Passport Number	Passport Validity Date	Passport Country of Issuance

Information for individuals with significant responsibility for managing the legal entity listed above, such as:

- Executive officer, senior manager (e.g. Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President or Treasurer); OR
- Any other individual who regularly performs similar functions.

Name	Date of Birth	Address	Passport Number	Passport Validity Date	Passport Country of Issuance

I / We, \_\_\_\_\_, authorized signatory, hereby certify that the information provided above (And attached herewith) is correct, complete and true (Proof of authorization is attached).

In case, the above information undergoes any change, I / We undertake to provide the new information immediately.

Name:

Designation

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Signature

Date

Legal Entity Identifier: \_\_\_\_\_ (Optional)

## **BENEFICIAL OWNERSHIP CERTIFICATION FORM – Frequently Asked Questions**

### **1. WHAT IS THIS FORM?**

To help fight Money Laundering and Terrorism Financing, the Qatar Financial Market Authority (“QFMA”) regulation requires its licensees to obtain, verify and record information about the beneficial owners of legal entity customers. Legal entities can be abused to disguise involvement in financial crimes. Requiring the disclosure of key individuals who own or control a legal entity (i.e. the beneficial owners) helps investigate and prosecute these crimes.

### **2. WHO HAS TO COMPLETE THIS FORM?**

This form must be completed by the person opening an account on behalf of a legal entity with any financial services institution as prescribed by the QFMA Anti-Money Laundering and Combating Terrorism Financing Rules and must be on the legal entity official letterhead.

### **3. WHAT INFORMATION DO I HAVE TO PROVIDE?**

This form requires you to provide the name, address, date of birth and passport number or other similar information, for the following individuals (i.e. the beneficial owners):

- (i) Each Individual, if any, who owns, directly or indirectly, 20% or more of the equity interests of the legal entity customer (e.g. each natural person who owns 20% or more of the shares of the corporation; and
- (ii) An individual with significant responsibility for managing the legal entity customer (e.g. Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President or Treasurer)

The number of individuals that satisfy this definition of “beneficial owner” may vary. Under section (i), depending on the factual circumstances, up to five individuals (but as few as zero) may need to be identified. Regardless of the number of individuals identified under section (i), you must provide the identifying information of one individual under section (ii). It is possible that in some circumstances the same individual may be identified in both sections (e.g. the President who also holds a 30% equity interest). Thus, a completed form will contain the identifying information of at least one individual under section (ii) and up to five individuals (i.e. one individual under section (ii) and five 20% equity holders under section (i)).

The financial institution requires obtaining a copy of a valid passport for each beneficial owner listed on this form.